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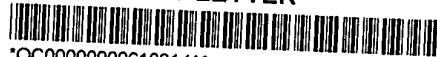
APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/812,617	03/19/2001	Jianhua Wang	OPT-101

23574  
ANDREI D POPOVICI  
786 LA MESA DRIVE  
PORTOLA VALLEY, CA 94028



CONFIRMATION NO. 1319

## FORMALITIES LETTER



\*OC00000006103141\*

Date Mailed: 05/22/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

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*A copy of this notice **MUST** be returned with the reply.*

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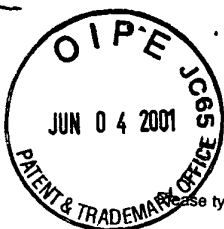
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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Section #3

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	<b>Application Number</b>	09/812,617	
	<b>Filing Date</b>	03/19/2001	
	<b>First Named Inventor</b>	Wang	
	<b>Group Art Unit</b>	2874	
	<b>Examiner Name</b>	n.a.	
<b>Total Number of Pages in This Submission</b>	11	<b>Attorney Docket Number</b>	OPT-101

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Receipt Postcard
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Andrei D. Popovici
Signature	A. Popovici
Date	5/31/2001

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 05/31/2001	
Typed or printed name	Andrei D. Popovici
Signature	A. Popovici
Date	5/31/2001

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PTO/SB/17 (09-00)  
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2001		Complete if Known	
Patent fees are subject to annual revision.		Application Number	09/812,617
		Filing Date	03/19/2001
		First Named Inventor	Wang
		Examiner Name	n.a.
		Group Art Unit	2874
		Attorney Docket No.	OPT-101
TOTAL AMOUNT OF PAYMENT	(\$)	170	

METHOD OF PAYMENT		FEE CALCULATION (continued)	
<b>1.</b> <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number <input type="text"/> Deposit Account Name <input type="text"/> <input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		<b>3. ADDITIONAL FEES</b> Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Code (\$) Code (\$) Fee Description Fee Paid	
<b>2.</b> <input checked="" type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input checked="" type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other		105 130 205 65 Surcharge - late filing fee or oath 130	
<b>FEE CALCULATION</b>		127 50 227 25 Surcharge - late provisional filing fee or cover sheet	
<b>1. BASIC FILING FEE</b> Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Code (\$) Code (\$) Fee Description Fee Paid		139 130 139 130 Non-English specification	
101 710 201 355 Utility filing fee		147 2,520 147 2,520 For filing a request for <i>ex parte</i> reexamination	
106 320 206 160 Design filing fee		112 920* 112 920* Requesting publication of SIR prior to Examiner action	
107 490 207 245 Plant filing fee		113 1,840* 113 1,840* Requesting publication of SIR after Examiner action	
108 710 208 355 Reissue filing fee		115 110 215 55 Extension for reply within first month	
114 150 214 75 Provisional filing fee		116 390 216 195 Extension for reply within second month	
SUBTOTAL (1) (\$)		117 890 217 445 Extension for reply within third month	
0		118 1,390 218 695 Extension for reply within fourth month	
<b>2. EXTRA CLAIM FEES</b>		128 1,890 228 945 Extension for reply within fifth month	
Total Claims <input type="text"/> -20** = <input type="text"/> X <input type="text"/> 18 = <input type="text"/> 0		119 310 219 155 Notice of Appeal	
Independent Claims <input type="text"/> -3** = <input type="text"/> X <input type="text"/> 80 = <input type="text"/> 0		120 310 220 155 Filing a brief in support of an appeal	
Multiple Dependent <input type="text"/> = <input type="text"/>		121 270 221 135 Request for oral hearing	
Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Code (\$) Code (\$) Fee Description Fee Paid		138 1,510 138 1,510 Petition to institute a public use proceeding	
103 18 203 9 Claims in excess of 20		140 110 240 55 Petition to revive - unavoidable	
102 80 202 40 Independent claims in excess of 3		141 1,240 241 620 Petition to revive - unintentional	
104 270 204 135 Multiple dependent claim, if not paid		142 1,240 242 620 Utility issue fee (or reissue)	
109 80 209 40 ** Reissue independent claims over original patent		143 440 243 220 Design issue fee	
110 18 210 9 ** Reissue claims in excess of 20 and over original patent		144 600 244 300 Plant issue fee	
SUBTOTAL (2) (\$)		122 130 122 130 Petitions to the Commissioner	
0		123 50 123 50 Petitions related to provisional applications	
**or number previously paid, if greater; For Reissues, see above		126 240 126 240 Submission of Information Disclosure Stmt	
		581 40 581 40 Recording each patent assignment per property (times number of properties) 40	
		146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))	
		149 710 249 355 For each additional invention to be examined (37 CFR § 1.129(b))	
		179 710 279 355 Request for Continued Examination (RCE)	
		169 900 169 900 Request for expedited examination of a design application	
		Other fee (specify) _____	
		Reduced by Basic Filing Fee Paid	
		SUBTOTAL (3) (\$)	
		170	

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Andrei D. Popovici	Registration No. (Attorney/Agent)	42,401
Signature		Telephone	(650) 233-1898
		Date	05/31/2001

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